

Invitation for Bids  
Department of Fish and Wildlife  
Caterpillar 420 Backhoe Loader  
Bid No. 26-03-4056DB

Bid Opens: March 9, 2026  
Bid Closes: March 27, 2026

The Department of Fish and Wildlife is currently soliciting sealed bids for the purchase of a Caterpillar 420 Backhoe Loader.

The Department is requesting a proposal for a Caterpillar 420 Backhoe Loader

**STANDARD EQUIPMENT:** Caterpillar Model: 420 Backhoe Loader

- **BOOMS, STICKS AND LINKAGES** - Backhoe – 14'4" Centre Pivot Backhoe, 4.3 Meters
- **ELECTRICAL:** External Power Receptacle (12V) Diagnostic Ports for Engine and Machine Electrical Control Modules
- **OPERATOR ENVIRONMENT** – Interior Rearview Mirror, ROPS Canopy, Rear Fenders, 2-inch (50MM) RETRACTABLE Seat Belt, Tilt Steering Column, Steering Knob, Hand and Foot Throttle, Automatic Engine Speed Control, One-Touch Low Idle, Floor Mat and Coat Strap, Lockable Storage Area, Air Suspension Seat
- **FLUIDS** – Antifreeze, Extended Life Coolant, -20F (-30C)
- **OTHER STANDARD EQUIPMENT** – Standard Storage Box, Transport Tie-Down Points, Ground Line Fill Fuel Tank with – 42.3 gallon (160ml) capacity and 5 gal (19L) diesel exhaust fluid, rubber impact strips on radiator, guard, CD-ROM Parts manual, Safety Manual, Operations and Maintenance Manual, Lockable Hood, Tire Valve Stem Protection
- **BOOMS, STICKS AND LINKAGES** – Boom and swing transport locks, Pilot Operated Backhoe and Electro-Hydraulic Stabilizer Controls, Street-Type Stabilizer Boots, Anti-Drift Hydraulics (boom, stick, and E-Stick) Cat Cushion Swing™ System, LOADER – Single Tilt Loader, Lift Cylinder Brace, Self-Leveling Loader with single lever control, return to dig (Automatic bucket positioner), Transmission Neutralizer Switch, Bucket Level Indicator
- **POWERTRAIN** – Water Separator, Thermal Starting Aid System, Dry-Type Axial Seal Air Cleaner with Integral Pre-Cleaner, Automatic Dust Ejection System, Filter Condition Indicator, Hydraulically Boosted Multi-Plate, Wet Disc Brake with Dual Pedals and Interlock, Differential Lock, Torque Converter, Transmission – 4-Speed manual Shift, Neutral Safety Switch, Spin-on Filters for Fuel, Engine Oil, Transmission Oil, Outboard Planetary rear Axles, Diesel particulate Filter, Hydrostatic Power Steering
- **HYDRAULICS** – Pilot Hoe and Mechanical Loader Controls, Load-Sensing, variable flow system with 43 gpm (162l/min) Axial Piston Pump, 6 Micron Hydraulic Filter, Caterpillar XT-3 Hose, Hydraulic Oil Cooler, Pilot Control Shut-Off Switch, Flow-Sharing Hydraulic Valves, Hydraulic Suction Strainer

- **ELECTRICAL** 12-Volt Electrical Start, Horn, front and rear, Backup Alarm, Hazard Flashers/Turn Signals, Halogen Head Lights (2), Halogen Rear Flood Lights (2), Stop and Tail Lights, Audible System Fault Alarm, Key Start/Stop System, 850 CCA Maintenance-Free Battery, Battery Disconnect Switch

## **MACHINE SPECIFICATIONS**

420 07A BHL CFG2 Eng. Lines, Combined Aux, E-Stick Standard Radio (12V) Serialized Technical Media Kit Product Link, Cellular PLE64 Coupling, QD, Threaded Caps Shipping/Storage Protection Rust Preventative Applicator Pack Domestic Truck Thumb – 548036 Bucket MP 1..3YD3, PO Forks, BOCE Coupler, PG, Man.D.Lock, BHL Belts, Seat, 2” Suspension	Ride Control Stabilizer Pads, Flip-Over Instructions, ANSI Cab, Deluxe Hydraulics, MP, 6FN/8BNK PT, 4WD/2WS, Powershift Stick, Extendable, 4.3M Display, Touch Screen Work-lights 8 LED Lamps Seat, Deluxe Fabric Counterweight 460 KGS (1015Lbs)	Tires 12.5.80/19.5L-24, GY Trim Package 3 Cold-Weather Package 120V Auto-Up Stabilizers Plate Group, Boom Wear Guard, Stabilizer End of Consists Eng. 74.5KW, C3.6, T4F Loader Bucket Pins Bkt-Rock, HD, 24”, 7.0 FT3 Air Conditioner, S5 (T4F)
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**WARRANTY:** Standard 12 Months Unlimited Hours, Parts and Labor (Travel Time Included for the First 6 Months)

**EXTENDED COVERAGE:** 420-48 Mo./4000 Hr. Premier

**PREVENTATIVE MAINTENANCE:** BCP National Campaign – 2-Year/1000 Hours Parts Only PM Kit

## **CONDITIONS GOVERNIGN INVITATION TO BID**

Must be Authorized and fully certified licensed dealership that meets all the standards and qualifications from the vehicle manufacturer in terms of facilities, equipment, parts, tools and trained technicians to handle all required services. Bid evaluation will be based on most responsive bid in accordance to specifications and criteria. Should the bidder be verified as being on the Navajo Nation Business Regulatory Listing, they will have an advantage. Business Regulatory Priority Status should be included on the mailing label on the outside of the package . However, this does not guarantee BID award. BID price shall be without sales tax as the equipment will be picked up by the Department of Fish and Wildlife. The Navajo Nation is not bound to enter into a contract or Purchase Order under this Invitation for Bids and may issue a subsequent Invitation for Bids for the same goods. Since the Navajo Nation is a sovereign government all contracts entered shall comply with all Navajo Nation laws, rules, regulations, as well as applicable federal laws, rules and regulations.

## **CONDITIONS GOVERNING THE PROCUREMENT**

Bid shall be effective on date of award and the availability of funds. Navajo Nation Property will be responsible for handling pertinent documents necessary for titling and licensing equipment, documents include Manufacturer's Statement of Origin and Odometer Statement.

The Department of Fish and Wildlife will pick up the equipment so delivery and Navajo Nation Sales Tax should not be included in the cost. Full payment is to be made no later than 15 days following receipt of all pertinent documents, including and invoice.

## SPECIFICATIONS

Bid price must meet minimum specifications or exceeded minimum specifications. Bid must note any exceptions.

### ADDRESS PROPOSALS TO:

#### MAIL TO:

Purchasing Service Department

P.O. Box 3150

Window Rock, AZ 86515

Re. RFP Bid No. 26-

#### DELIVER TO:

Purchasing Service Department

Admin. Building One, First Floor

2559 Window Rock Blvd.

Window Rock, AZ 86515

Re. RFP Bid No. 26-03-4056

## CLOSING REMARKS

The bids will open on March 9, 2026 and close on March 27, 2026 at 5:00 PM. Proposals received after the deadline will not be accepted. Please allow adequate time for delivery. If using a courier service, the dealership is responsible for ensuring that the delivery will be made directly to the required location.

Contact Person: Jeffrey Cole

Phone: 505-879-4453

Email: [jcole@nndfw.org](mailto:jcole@nndfw.org)

If you have any questions, please contact Jeff Cole at the listed number above.

After the bids are submitted, no later than 5:00 PM MST, March 27, 2026, the bid packages will be opened and reviewed by the bid team on/by April 8, 2026 and a selection will be made by that same date.

**NAVAJO NATION CERTIFICATION**  
**Regarding Debarment, Suspension, and**  
**Contracting Eligibility**

1. Applicant entity acknowledges that to the best of its knowledge that the Applicant entity, either in its present form or in any identifiable capacity, has not, in accordance with 12 N.N.C. § 361:
  - A. Been convicted of the commission of criminal offenses incident to obtaining or attempting to obtain a public or private contract or subcontract, or in the performance of any such contract or subcontract;
  - B. Been convicted of embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, or other offenses indicating a lack of business integrity or honesty, which currently, seriously, and directly affect responsibility as a Navajo Nation contractor;
  - C. Been convicted under antitrust statutes arising out of the submission of bids or proposals;
  - D. Violated contract provisions, including:
    - i. Deliberate failure, without good cause, to perform in accordance with the contract specifications or within the time limit provided in the contract,
    - ii. A recent record of failure to perform or of unsatisfactory performance with the terms of any contract, or
    - iii. Any other cause so serious and compelling as to affect responsibility as a Navajo Nation contractor, including debarment by another governmental entity.
2. Applicant acknowledges that if the Navajo Nation determines that the executed Certification provided herein is untrue or not wholly accurate, it shall be grounds for the Navajo Nation to terminate the contract and pursue other legal remedies, at the Navajo Nation's discretion.
3. Applicant certifies to the best of its knowledge that it is eligible to do business with the

Navajo Nation, in its present form or in any other identifiable capacity, pursuant to 12 N.N.C. § 1501 and 5 N.N.C. § 301. Applicant also acknowledges that per 12 N.N.C. § 1505, it will not be eligible to contract with the Navajo Nation if deemed ineligible by the appropriate department or entity of the Navajo Nation which receives the Applicant's request for consideration for a business opportunity.

\_\_\_\_\_  
Applicant Name

\_\_\_\_\_  
Name of individual signing on Applicant's behalf (print)

\_\_\_\_\_  
Applicant Address

\_\_\_\_\_  
Title of individual signing on Applicant's behalf

\_\_\_\_\_  
Applicant Address

\_\_\_\_\_  
Signature of individual signing on Applicant's behalf

\_\_\_\_\_  
Applicant Address

\_\_\_\_\_  
Date

# Request for Taxpayer Identification Number and Certification

Go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9) for instructions and the latest information.

**Give form to the requester. Do not send to the IRS.**

**Before you begin.** For guidance related to the purpose of Form W-9, see *Purpose of Form*, below.

Print or type. See Specific Instructions on page 3.

1	Name of entity/individual. An entry is required. (For a sole proprietor or disregarded entity, enter the owner's name on line 1, and enter the business/disregarded entity's name on line 2.)	
2	Business name/disregarded entity name, if different from above.	
3a	Check the appropriate box for federal tax classification of the entity/individual whose name is entered on line 1. Check only one of the following seven boxes.  <input type="checkbox"/> Individual/sole proprietor <input type="checkbox"/> C corporation <input type="checkbox"/> S corporation <input type="checkbox"/> Partnership <input type="checkbox"/> Trust/estate  <input type="checkbox"/> LLC. Enter the tax classification (C = C corporation, S = S corporation, P = Partnership) . . . . . <b>Note:</b> Check the "LLC" box above and, in the entry space, enter the appropriate code (C, S, or P) for the tax classification of the LLC, unless it is a disregarded entity. A disregarded entity should instead check the appropriate box for the tax classification of its owner.  <input type="checkbox"/> Other (see instructions) _____	4
		Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any) _____  Exemption from Foreign Account Tax Compliance Act (FATCA) reporting code (if any) _____  <i>(Applies to accounts maintained outside the United States.)</i>
3b	If on line 3a you checked "Partnership" or "Trust/estate," or checked "LLC" and entered "P" as its tax classification, and you are providing this form to a partnership, trust, or estate in which you have an ownership interest, check this box if you have any foreign partners, owners, or beneficiaries. See instructions . . . . . <input type="checkbox"/>	
5	Address (number, street, and apt. or suite no.). See instructions.	Requester's name and address (optional)
6	City, state, and ZIP code	
7	List account number(s) here (optional)	

## Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

**Note:** If the account is in more than one name, see the instructions for line 1. See also *What Name and Number To Give the Requester* for guidelines on whose number to enter.

<b>Social security number</b>								
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<b>or</b>								
<b>Employer identification number</b>								
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## Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and, generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

<b>Sign Here</b>	Signature of U.S. person	Date
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## General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/FormW9](http://www.irs.gov/FormW9).

## What's New

Line 3a has been modified to clarify how a disregarded entity completes this line. An LLC that is a disregarded entity should check the appropriate box for the tax classification of its owner. Otherwise, it should check the "LLC" box and enter its appropriate tax classification.

New line 3b has been added to this form. A flow-through entity is required to complete this line to indicate that it has direct or indirect foreign partners, owners, or beneficiaries when it provides the Form W-9 to another flow-through entity in which it has an ownership interest. This change is intended to provide a flow-through entity with information regarding the status of its indirect foreign partners, owners, or beneficiaries, so that it can satisfy any applicable reporting requirements. For example, a partnership that has any indirect foreign partners may be required to complete Schedules K-2 and K-3. See the Partnership Instructions for Schedules K-2 and K-3 (Form 1065).

## Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS is giving you this form because they